

# Getting Ready for CAA Section 115 APCD Grants

## Data Product Types and Requirements

March 31, 2021



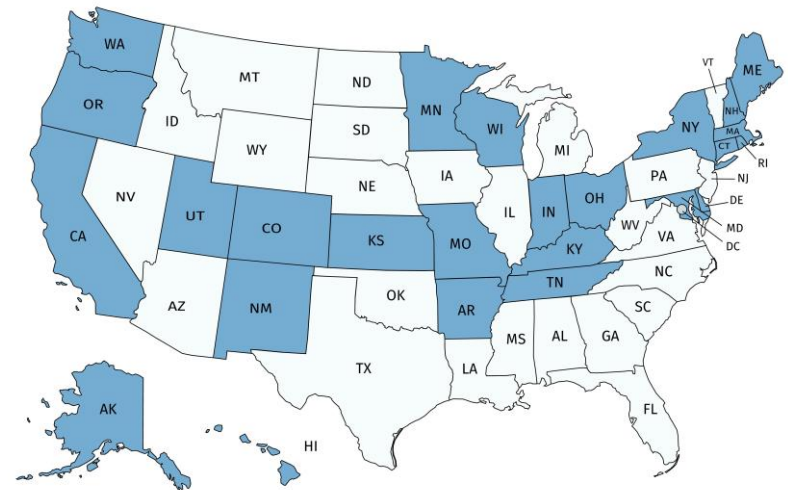
Linda Green  
Jonathan Mathieu  
Brian Boates

# About Freedman HealthCare



- ▶ Founded in 2005
- ▶ Focus on government and nonprofit health data initiatives
- ▶ Experienced APCD managers and data analysts
- ▶ APCD “lifecyle” expertise: stakeholders, law, rule, RFPs, data quality, analytics, data access, sustainability

Freedman HealthCare States



# Today's Presenters



Linda Green  
Executive Vice  
President



Jonathan  
Mathieu  
Senior Consultant



Brian Boates  
Project  
Manager

## National Association of Health Data Organizations (NAHDO)

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NAHDO is a national non-profit membership and educational association dedicated to improving health care data collection and use.

NAHDO members include state and private health data organizations that maintain statewide health care databases and stakeholders of these databases.

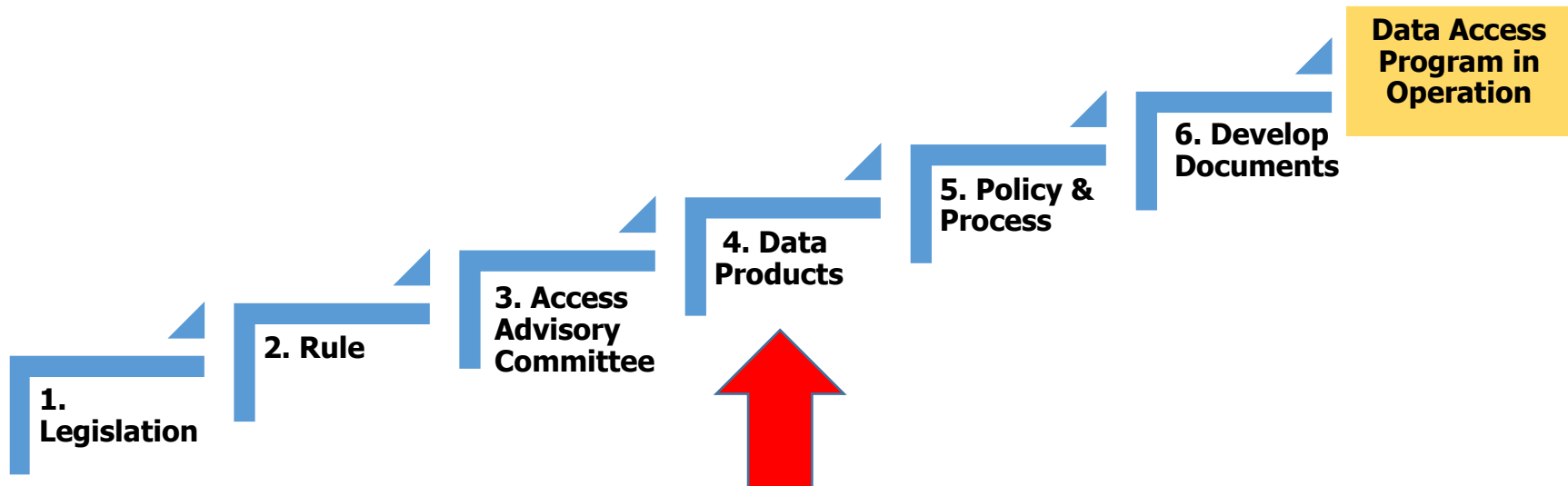
The APCD Council is a program of NAHDO in partnership with the Institute for Health Policy and Practice (IHPP) at the University of New Hampshire (UNH).



[NAHDO.ORG](http://NAHDO.ORG) & [APCDCOUNCIL.ORG](http://APCDCOUNCIL.ORG)

# Recap CAA Section 115 Data Access Requirements

- ▶ To be eligible for grants, State APCDs must have (or intend to have) a data access process
- ▶ Law offers guidance on:
  - Who must be allowed to request data
  - Purposes that must be supported
  - **Types of data products**



# Questions for Today's Webinar



- ▶ What are the data product categories described in Section 115?
- ▶ How do these align with data products produced by existing APCDs?
- ▶ Who are the typical audiences for these data products?

# Data Products in CAA Section 115



- ▶ Data for Research Purposes
- ▶ Customized Reports
- ▶ Non-Customized Reports
- ▶ *CAA does not define requirements for Data Products, only broad categories*

# Data for Research Purposes

## What does the CAA say?

- Use to evaluate health system performance
- IRB approval required, if applicable
- Prohibits attempts to reidentify & disclose Individually Identifiable Health Information (IIHI) and proprietary financial information

APCD Data Products	What is Included?
Limited Data Set	<ul style="list-style-type: none"><li>• Some patient-specific information</li><li>• Some Payer/Provider IDs, payment information</li><li>• Can be “off the shelf” or usually custom</li></ul>
Identifiable Data	<ul style="list-style-type: none"><li>• Detailed patient-specific information</li><li>• Payer/Provider IDs, payment information</li><li>• Custom request, justify sensitive information</li></ul>



# Customized Reports

## What does the CAA say?

- For employers and employer organizations, provided at cost
- Format must protect data privacy, security, proprietary financial information

APCD Data Products	What is Included?
Custom, Topic Specific or <i>ad hoc</i> Reports	<ul style="list-style-type: none"><li>• Typically produced by APCD analysts</li><li>• Descriptive statistics or summary results</li><li>• Presented in tables or visualizations</li></ul>
Custom De-Identified Data Sets	<ul style="list-style-type: none"><li>• No patient specific information (IIHI)</li><li>• Limited payment or sensitive information</li><li>• Do these qualify as CAA “aggregate data sets”?</li></ul>

# Non-Customized Reports

## What does the CAA say?

- Available to all “authorized users” (CAA lists: research entity, employer, health insurance issuer, third-party administrator, health care provider)
- Specifies “aggregate data sets” provided at no cost

APCD Data Products	What is Included?
Public Use File	<ul style="list-style-type: none"><li>• No patient specific information (IIHI)</li><li>• Limited payment or other sensitive information</li><li>• Aggregated data</li></ul>
Public Facing Reports	<ul style="list-style-type: none"><li>• Topics of broad interest, often available on a website</li><li>• Descriptive statistics or summary results</li><li>• Presented in tables or visualizations</li></ul>

# Data Products: Who are the Audiences?



CAA Category	APCD Examples	Audiences
Data for Research Purposes	<ul style="list-style-type: none"><li>Limited Data Sets</li><li>Identifiable Data</li></ul>	<ul style="list-style-type: none"><li>Academic Researchers</li><li>Research Institutions</li><li>Graduate Students</li></ul>
Customized Reports	<ul style="list-style-type: none"><li>Custom, Topic Specific or <i>ad hoc</i> Reports</li><li>Custom De-identified Data Sets (?)</li></ul>	<ul style="list-style-type: none"><li><b><u>Employers (CAA)</u></b></li><li>State Agencies</li><li>Providers, Payers</li><li>Non-profits</li><li>Advocacy groups</li><li>Governor/Legislature</li></ul>
Non-Customized Reports	<ul style="list-style-type: none"><li>Public Use Files or “aggregate data sets”</li><li>Public Facing Reports</li></ul>	<ul style="list-style-type: none"><li>Similar to Customized Report Audiences</li></ul>

# Data Access Committee Role

- ▶ Primary Purpose
  - • Review data requests and make recommendations ←
  - May also provide input on data access policies and data products
  
- ▶ Broad healthcare community representation with a diversity of perspectives
  
- ▶ APCD's in development or those without a Data Access Committee may look to an APCD Advisory Committee for guidance on data products

# How to Get Started



<b>Existing APCDs</b>	<ul style="list-style-type: none"><li>• Do you have a Data Access Process? If not, what changes are needed to establish one?</li><li>• Does your Process allow access for:<ul style="list-style-type: none"><li>• Researchers, Employers, Payers, TPAs, Providers</li><li>• If not, what changes are needed?</li></ul></li><li>• Do your existing Data Products include:<ul style="list-style-type: none"><li>• Research Data Sets, Customized Reports and Non-Customized Reports</li><li>• If not, what changes are needed?</li></ul></li><li>• <u>Take inventory and start planning with CAA in mind</u></li></ul>
<b>APCDs in Development (Includes Voluntaries or entities contemplating an APCD)</b>	<ul style="list-style-type: none"><li>• Do you have an Advisory or Data Access Committee?</li><li>• If not, establish to engage stakeholders, obtain input</li><li>• Learn from existing APCDs, seek expert assistance</li><li>• <u>A credible Data Access Process plan is required; still time to make necessary changes and build one!</u></li></ul>

# Existing APCD Data Products

- ▶ HealthFacts RI (RI APCD)
  - [Data Release Webpage](#)
  - [Interactive Public Reports](#)
  
- ▶ Delaware Health Information Network (DE APCD)
  - [APCD Homepage and Interactive Public Reports](#)
  
- ▶ Center for Improving Value in Health Care (CO APCD)
  - [Product Overview](#)
  - [Publicly Available Data](#)

Questions?

# New Resources Available on FreedmanHealthCare.com



- ▶ APCD Data Access Programs – What to Read Next
- ▶ Coming soon: FAQ
- <https://freedmanhealthcare.com/data-resources/>

And any questions, please reach out:

[Info@freedmanhealthcare.com](mailto:Info@freedmanhealthcare.com)



# Upcoming Webinars

- ▶ Upcoming in our Complimentary Webinar Series: Getting Ready for Federal Grants – all at 1 PM ET

April 14	Protecting Individual Privacy and Reporting Financial Data
April 28	Elements of a “Common Data Request Application”
May 5	Regional/Voluntary APCDs
May 12	Learning Community: Progress and Updates

- ▶ Sign up at [https://us02web.zoom.us/webinar/register/WN\\_UXLQHkLfRAuQDgbl\\_SthoQ](https://us02web.zoom.us/webinar/register/WN_UXLQHkLfRAuQDgbl_SthoQ)



Watch for our follow up email with slides and signup information